

Hilltop Securities Inc. and/or Broker/Dealers for which it Clears
Hilltop Securities Inc. - Member: NYSE/ FINRA/ SIPC

Customer Sweep Authorization

For more information concerning your account type and sweep options, please contact your Financial Professional. For complete sweep account disclosures, please see the Customer Information Brochure.

1. Customer Information.

Customer Name/Account Registration: _____

SSN/Taxpayer ID#: _____

Joint Customer Name: _____

SSN/Taxpayer ID#: _____

Customer Account Number(s):	Account Type (Individual, IRA, etc.):	<u>Instructions:</u>
_____	_____	If an account number has not yet been assigned, please enter "pending" in the account number column and provide an accurate description of the account type such as Individual Investment Account, Joint Account, IRA Account, Trust Account, etc.
_____	_____	
_____	_____	
_____	_____	

2. Sweep Instructions for IRAs and Qualified Retirement Plans. (For all other types of accounts, skip this section and complete Section 3 or 4 below.)

IRAs and Qualified Retirement Accounts may not retain excess cash balances in Credit Interest Pending (CIP) account. Excess cash balances must sweep to one of the funds or Bank Insured Deposit options below.

Dreyfus Funds:

- Sweep to Federated Hermes Prime Oblig. (Automated)-PTAXX
- Sweep to Dreyfus Government Cash Mgmt. (Investor)-DGVXX
- Sweep to Dreyfus National Municipal (Wealth)-GTMXX

FDIC Insured Deposit Account:

- Sweep to Bank Insured Deposit (FDIC Insured Deposit Account)

Please refer to the money market fund prospectus for more complete information, including terms, management fees, prevailing rates and expenses. The Bank Insured Deposit is a program which involves a series of FDIC-insured bank accounts maintained at various participant banks, including PlainsCapital Bank, an affiliate of Hilltop Securities Inc. (HTS). I acknowledge that I am aware that if I elect or otherwise have cash swept to the Bank Insured Deposit, the terms and conditions document will be mailed to me. Information regarding FDIC coverage is available at www.fdic.gov. Cash balances invested in the Bank Insured Deposit are not covered by SIPC or excess-SIPC coverage. Additional information regarding SIPC coverage is available at www.sipc.org. Please consult your Financial Professional, as certain types of accounts may not be eligible to invest in the Bank Insured Deposit. HTS and your Financial Professional may receive a fee or compensation with respect to the Bank Insured Deposit. For more information concerning your account options, please contact your Financial Professional. For complete sweep account disclosures please see the Customer Information Brochure.

3. Sweep Instructions for Entities Only. (Accounts owned by an entity, for example, Corporation, LLC, Non-profit organization, Partnership, Corporate Trustee)

The following are the only sweep instructions available for accounts owned by an entity:

- Sweep to Bank Insured Deposit (FDIC Insured Deposit Account)
- Sweep to Dreyfus Government Cash Mgmt. (Investor)-DGVXX

4. Sweep Instructions for All Other Types of Accounts.

Sweep Instructions (Required-Select only ONE):

- Sweep to Bank Insured Deposit (FDIC Insured Deposit Account)
- Sweep to Federated Hermes Prime Oblig. (Automated)-PTAXX
- Sweep to Dreyfus National Municipal (Wealth)-GTMXX
- Sweep to Dreyfus Government Cash Mgmt. (Investor)-DGVXX
- Sweep to Dreyfus Treasury Securities Cash Mgmt. (Investor)-DVRXX
- Sweep to Federated Hermes California Municipal Cash Trust (Service)-CACXX

